

Assessment of audit days: 2011-2012 strategic plan

AUDIT WORK SCHEDULE AS AT 30 APRIL 2011	Last audited	Risk ranking	Original Estimated Audit Days 2011-12	Revised Estimated Audit Days 2011-12	Actual Audit Days 2011-12	Balance
FINANCIAL CONTROL AUDITS						
Started						
Government & European Grants	2010	1	25	25	1	24
Cash Income and Banking	2010	1	20	20	18	2
Stock Taking / Work in Progress	2010	2	20	20	6	14
Creditor Payments and Purchasing	2011	1	20	20	3	17
Not Started						
Budgetary Preparation and Control	2011	1	25	25	0	25
Payroll	2010	1	25	25	0	25
Capital Accounting	2010	1	25	25	0	25
Council Tax and Non-Domestic Rates	2010	1	25	25	0	25
Capital Contracts	2010	1	25	25	0	25
Debtor Accounts	2011	1	20	20	0	20
Treasury Management	2010	1	20	20	0	20
General ledger operations	2011	1	20	20	0	20
Tendering Procedures	2010	1	20	20	0	20
Contract Operating Leases	2010	2	20	20	0	20
Car Allowances and Subsistence	2011	2	20	20	0	20
Section Total			330	330	29	301
BUSINESS SYSTEMS AUDITS						
Not Started						
Pyramid Performance Management	2011	2	25	25	0	25
Resource Link	2010	3	20	20	0	20
Planning/Building Standards	2010	3	20	20	0	20
Care First	2010	3	20	20	0	20
Leisure Management System	2010	3	20	20	0	20
Fleet Management	2010	3	20	20	0	20
E - Benefits	2011	4	10	10	0	10
Roads Costings System	2010	4	0	0	0	0
Environmental Health	2011	4	0	0	0	0
Customer Service Centre	2010	4	0	0	0	0
Electronic Timesheets	2011	4	0	0	0	0
eProcurement	2010	4	0	0	0	0
Licensing	2011	4	0	0	0	0
Section Total			135	135	0	135

APPENDIX 1

CORPORATE PERFORMANCE AUDITS						
Started						
Governance and Accountability	2010	1	20	20	0	20
PSIF	2010	1	20	20	3	17
Not Started						
Partnerships	2010	1	20	20	0	20
Asset Management	2010	1	20	20	0	20
Financial Management and Efficiency	2010	1	20	20	0	20
Procurement	2010	1	20	20	0	20
People Management	2010	1	20	20	0	20
Risk Management	2010	1	20	20	0	20
Statutory Performance Indicators	2010	1	20	20	0	20
Performance Management	2010	1	20	20	0	20
Information Management	2010	2	10	10	0	10
Community & Customer Engagement	2010	2	10	10	0	10
Equality & Sustainability	2010	3	5	5	0	5
Section Total			225	225	3	222
CORPORATE/SERVICE PLANS						
Not Started						
Corporate Plan	-	1	100	100	0	100
Chief Executive's Unit	2010	1	25	25	0	25
Community Services	2010	1	25	25	0	25
Customer Services	2010	1	25	25	0	25
Development & Infrastructure	2010	1	25	25	0	25
Section Total			200	200	0	200
Actual Direct Audit Time			890	890	32	858
Special investigations contingency						
Council Wide			100	100	0	100
Chief Executive's Unit					0	
Community Services					0	
Customer Services					0	
Development & Infrastructure Services					0	
Section Total			100	100	0	100
Other Areas						
Follow-up External & Internal Audit Management Letter Points			52	52	6	47
NFI			25	25	3	22
Risk Assessment, Strategic Plan, Annual Plans			18	18	6	12
Section Total			95	95	14	81

APPENDIX 1

TOTAL	1085	1085	46	1039
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